Neural Networks and Deep Learning Charu C. Aggarwal

Chapter 8: Convolutional Neural Networks

Presented by: Aref Moqadam Mehr

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- 8.1 Introduction
- 8.2 Basic Structures
- 8.3 Training a Convolutional Neural Network
- 8.4 Case Studies
- 8.5 Visualization and Unsupervised Learning
- 8.6 Application of Convolution Network
- 8.7 Summery
- Extra Topics



- 8.1 Introduction
 - A bit of History
 - Computer Vision

8.1 Introduction

- Grid-Structured Data Representation
 - Example: 2D Images
- Have strong spatial dependencies in local regions.
 - Example: adjacent location in image often have similar colors
- Translation Invariance
 - Example: A banana has the same interpretation whether it's at the top or bottom of an image.



- 8.1 Introduction
 - A bit of History
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A bit of History

- Hubel and Wiesel studied cat's visual cortex (1959)
- LeNet-5 for recognizing hand-written digits on checks (1998)
- ImageNet contests played an important role in increasing the prominence of CNNs
- since 2012, CNNs are consistent winner of this challenge
- In 2012 AlexNet succeeded in this challenge by a large margin



- 8.1 Introduction
 - ✓ A bit of History
 - Computer Vision



- Computer vision is a rapidly growing field thanks to deep learning methods.
- Problems includes:
 - Image Classification
 - Object Detection
 - Neural Style Transfer



→ Cat? (0/1)

64x64



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 For example, a 1000x1000 image will represent 3 million feature/input to the full connected neural network. If the following hidden layer contains 1000, then we will want to learn weights of the shape [1000, 3 million] which is 3 billion parameter only in the first layer and thats so computationally expensive!







- 8.2 Basic Structures
 - Edge Detection
 - Padding
 - Stride
 - Convolution Over Volumes
 - One Layer of Convolution Network
 - Typical Setting
 - Simple CNN
 - Pooling
 - Fully Connected Network
 - The Interleaving between layers
 - Why Convolution Neural Networks?



8.2 Basic Structures

- Image is made of set pixels.
- each pixels contains the intensity of the specified location.
- Image is usually represented as a Metric with three dimensions: width, height, and color channel





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3	0	1	2	7	4
1	5	8	9	3	1
2	7	2	5	1	3
0	1	3	1	7	8
4	2	1	6	2	8
2	4	5	2	3	9

*

1	0	-1
1	0	-1
1	0	-1





1





• $3^{1} + 0^{0} + 1^{(-1)} + 1^{1} + 5^{0} + 8^{(-1)} + 2^{1} + 7^{0} + 2^{(-1)} = -5$



3	0	1	2	7	4
1	5	8	9	3	1
2	7	2	5	1	3
0	1	3	1	7	8
0 4	1 2	3 1	1 6	7 2	8 8

*

1	0	-1
1	0	-1
1	0	-1





3	0	1	2	7	4
1	5	8	9	3	1
2	7	2	5	1	3
0	1	3	1	7	8
0 4	1 2	3 1	1 6	7 2	8 8

*

1	0	-1
1	0	-1
1	0	-1





3	0	1	2	7	4
1	5	8	9	3	1
2	7	2	5	1	3
0	1	3	1	7	8
0 4	1 2	3 1	1 6	7 2	8 8

*

1	0	-1
1	0	-1
1	0	-1





3	0	1	2	7	4
1	5	8	9	3	1
2	7	2	5	1	3
0	1	3	1	7	8
4	2	1	6	2	8
2	4	5	2	3	9

*

1	0	-1
1	0	-1
1	0	-1





3	0	1	2	7	4
1	5	8	9	3	1
2	7	2	5	1	3
0	1	3	1	7	8
0 4	1 2	3 1	1 6	7 2	8 8

*

1	0	-1
1	0	-1
1	0	-1

-5	-4	0	8
-10	-2	2	3
0	-2	-4	-7
-3	-2	-3	-16



• Formal Definition:

$$h_{ijp}^{(q+1)} = \sum_{r=1}^{F_q} \sum_{s=1}^{F_q} \sum_{k=1}^{d_q} w_{rsk}^{(p,q)} h_{i+r-1,j+s-1,k}^{(q)} \quad \forall i \in \{1 \dots, L_q - F_q + 1\}$$
$$\forall j \in \{1 \dots B_q - F_q + 1\}$$
$$\forall p \in \{1 \dots d_{q+1}\}$$

- i,j,k indicate the position along *height, width,* and *depth*
- *q* corresponds to the *q*-th layer of network.
- *h*^{*q*} represent the value of the *q*-*th* layer.



• Vertical Edge Detection

10	10	10	0	0	0
10	10	10	0	0	0
10	10	10	0	0	0
10	10	10	0	0	0
10	10	10	0	0	0
10	10	10	0	0	0

*



0	30	30	0
0	30	30	0
0	30	30	0
0	30	30	0



• We can achieve different results from different kernels

Edge detection





• We can achieve different results from different kernels

Identity







• We can achieve different results from different kernels

Sharpen

Box blur

$$\begin{bmatrix} 0 & -1 & 0 \\ -1 & 5 & -1 \\ 0 & -1 & 0 \end{bmatrix}$$
$$\frac{1}{9} \begin{bmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{bmatrix}$$







• The challenge is to find right *weights*

3	0	1	2	7	4
1	5	8	9	3	1
2	7	2	5	1	3
0	1	3	1	7	8
4	2	1	6	2	8
2	4	5	2	3	9

1			
	w_1	w_2	<i>w</i> ₃
	<i>w</i> ₄	w ₅	w ₆
	w ₇	w ₈	W9



Understanding Convolutions

- Sparse connectivity because we are creating a feature from a region in the input volume of the size of the filter.
 - Trying to explore smaller regions of the image to find shapes.
- Shared weights because we use the same filter across entire spatial volume.
 - Interpret a shape in various parts of the image in the same way.



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- The convolution operation reduces the size of the (q + 1)th layer in comparison with the size of the *q*-th layer.
 - This type of reduction in size is not desirable in general, because it tends to lose some information along the borders of the image (or of the feature map, in the case of hidden layers).
- This problem can be resolved by using padding.





*



=

4 x 4

6 x 6

- Input size: *n*
- Kernel size: f
- Output size: o = n f + 1







*



=



6 x 6

• Output size: o = n + 2p - f + 1



- Terminology for two types of convolution layers: •
 - "Valid" Convolution layers: No padding (p = 0) •
 - "Same" Convolution layers: Input size equals output size ($p = \frac{f-1}{2}$) •



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Stride

2	3	7	4	6	2	9
6	6	9	8	7	4	3
3	4	8	3	8	9	7
7	8	3	6	6	3	4
4	2	1	8	3	4	6
3	2	4	1	9	8	3
0	1	3	9	2	1	4

*

3	4	4
1	0	2
-1	0	3





Stride

2	3	7	4	6	2	9
6	6	9	8	7	4	3
3	4	8	3	8	9	7
7	8	3	6	6	3	4
4	2	1	8	3	4	6
3	2	4	1	9	8	3
0	1	3	9	2	1	4

*

3	4	4
1	0	2
-1	0	3




Stride

2	3	7	4	6	2	9
6	6	9	8	7	4	3
3	4	8	3	8	9	7
7	8	3	6	6	3	4
4	2	1	8	3	4	6
3	2	4	1	9	8	3
0	1	3	9	2	1	4

*

3	4	4
1	0	2
-1	0	3

=

91	100	83



Stride

2	3	7	4	6	2	9
6	6	9	8	7	4	3
3	4	8	3	8	9	7
7	8	3	6	6	3	4
4	2	1	8	3	4	6
3	2	4	1	9	8	3
0	1	3	9	2	1	4

*

3	4	4
1	0	2
-1	0	3

=



Output size: o =
$$\left\lfloor \frac{n+2p-f}{s} + 1 \right\rfloor$$



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4 x 4

=





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One Layer of Convolution Network







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Typical Settings

- It is common to use *Stride=1*
- It is common to use square images ($L_q = B_q$) because it is easier to work with.
- Usually F_q = 3 or 5. In general smaller filter often delivers better results.



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Simple Convolutional Neural Network

- *width* and *height* reduce from left to right.
- *depth* increases from left to right.
- Thus features are more abstract and apply on larger region of the initial image.





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Pooling

- The pooling operation works on small grid regions of size $P_q \times P_q$ in each layer, and produces another layer with the same depth.
- For each square region of size $P_q \times P_q$ in each of the d_q activation maps, the maximum of these values is returned.
- It is common to use a stride $S_q > 1$ in pooling (often we have $P_q = S_q$).
- Pooling drastically reduces the spatial dimensions of each activation map.



Pooling

1	3	2	1
2	9	1	1
1	3	2	3
5	6	1	2







Pooling

- *Max* or *Average* Pooling
- Have same d_q in input and output.
- No Parameters to Learn!



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Fully Connected Network

- Each feature in the final spatial layer is connected to each hidden state in the first fully connected layer.
- This layer functions in exactly the same way as a traditional feed-forward network.
- In most cases, one might use more than one fully connected layer to increase the power of the computations towards the end.
- The connections among these layers are exactly structured like a traditional feedforward network.
- The vast majority of parameters lie in the fully connected layers.



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The Interleaving between layers

- The convolution, pooling, and ReLU layers are typically interleaved in order to increase expressive power.
- The ReLU layers often follow the convolutional layers, just as a nonlinear activation function typically follows the linear dot product in traditional neural networks.
- After two or three sets of convolutional-ReLU combinations, one might have a maxpooling layer.



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Why Convolutional Neural Networks?

- Two main advantages of CNNs are:
 - Parameter sharing.
 - A feature detector (such as a vertical edge detector) that's useful in one part of the image is probably useful in another part of the image.
 - sparsity of connections
 - In each layer, each output value depends only on a small number of inputs which makes it translation invariance.



- 8.3 Training a Convolutional Neural NetworkEdge Detection
 - Backpropagating for Pooling Layer
 - Backpropagating through Convolutions
 - Inverted / Transposed Filter
 - Matrix Multiplication
 - Data Augmentation

8.3 Training a Convolutional Neural Network

- There are three operations: *convolutions*, *max-pooling*, and *ReLU*.
- The ReLU backpropagation is the same as any other network.
 - Passes gradient to a previous layer only if the original input value was positive.
- The max-pooling passes the gradient flow through the largest cell in the input volume.
- Main complexity is in backpropagation through convolutions.



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Pooling layers

- Max-pooling the error is just assigned to where it comes from the "winning unit" because other units in the previous layer's pooling blocks did not contribute to it hence all the other assigned values of zero
- Average pooling the error is multiplied by $\frac{1}{N \times N}$ and assigned to the whole pooling block (all units get this same value).



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- We are looking for $\frac{\partial E}{\partial w_{m',n'}^l}$ (*m*, *n* are Kernel iterators)
- Convolution between the input feature map of dimension *HxW* and the weight kernel of dimension k1 × k2 produces an output feature map of size (*H k*₁ +1) by (*W*-*k*₂+1). The gradient component for the individual weights can be obtained by applying the chain rule in the following way:

$$\frac{\partial E}{\partial w_{m',n'}^l} = \sum_{i=0}^{H-k_1} \sum_{j=0}^{W-k_2} \frac{\partial E}{\partial x_{i,j}^l} \frac{\partial x_{i,j}^l}{\partial w_{m',n'}^l}$$
$$= \sum_{i=0}^{H-k_1} \sum_{j=0}^{W-k_2} \delta_{i,j}^l \frac{\partial x_{i,j}^l}{\partial w_{m',n'}^l}$$





• $x_{i,j}^{l}$ is equivalent to $\sum_{m} \sum_{n} w_{m,n}^{l} o^{l-1}_{i+m,j+n} + b_{l}$ and expanding this part of the equation gives us:

$$\frac{\partial x_{i,j}^l}{\partial w_{m',n'}^l} = \frac{\partial}{\partial w_{m',n'}^l} \left(\sum_m \sum_n w_{m,n}^l o_{i+m,j+n}^{l-1} + b^l \right)$$



- Further expanding the summations and taking the partial derivatives for all the components results in zero values for all except the components where *m=m'* and
- *n=n'* in $w_{m,n}^l o_{i+m,j+n}^{l-1}$ as follows

$$\begin{aligned} \frac{\partial x_{i,j}^{l}}{\partial w_{m',n'}^{l}} &= \frac{\partial}{\partial w_{m',n'}^{l}} \left(w_{0,0}^{l} o_{i+0,j+0}^{l-1} + \dots + w_{m',n'}^{l} o_{i+m',j+n'}^{l-1} + \dots + b^{l} \right) \\ &= \frac{\partial}{\partial w_{m',n'}^{l}} \left(w_{m',n'}^{l} o_{i+m',j+n'}^{l-1} \right) \\ &= o_{i+m',j+n'}^{l-1} \end{aligned}$$



• Substituting previous equation with the one on page 51 gives us the following results:

$$\frac{\partial E}{\partial w_{m',n'}^{l}} = \sum_{i=0}^{H-k_1} \sum_{j=0}^{W-k_2} \delta_{i,j}^{l} o_{i+m',j+n'}^{l-1}$$



• Using chain rule and introducing sums give us the following equation:



• *Q* is the output region after applying padding and stride



• A bit more formal way would be:

$$\frac{\partial E}{\partial x_{i',j'}^{l}} = \sum_{m=0}^{k_{1}-1} \sum_{n=0}^{k_{2}-1} \frac{\partial E}{\partial x_{i'-m,j'-n}^{l+1}} \frac{\partial x_{i'-m,j'-n}^{l+1}}{\partial x_{i',j'}^{l}}$$
$$= \sum_{m=0}^{k_{1}-1} \sum_{n=0}^{k_{2}-1} \delta_{i'-m,j'-n}^{l+1} \frac{\partial x_{i'-m,j'-n}^{l+1}}{\partial x_{i',j'}^{l}}$$


- We know $x_{i'-m,j'-n}^{l+1}$ is equals to $\sum_{m'} \sum_{n'} w_{m',n'}^{l+1} o_{i'-m+m',j'-n+n'}^{l} + b^{l+1}$
- So we have:

$$\frac{\partial x_{i'-m,j'-n}^{l+1}}{\partial x_{i',j'}^{l}} = \frac{\partial}{\partial x_{i',j'}^{l}} \left(\sum_{m'} \sum_{n'} w_{m',n'}^{l+1} o_{i'-m+m',j'-n+n'}^{l} + b^{l+1} \right)$$
$$= \frac{\partial}{\partial x_{i',j'}^{l}} \left(\sum_{m'} \sum_{n'} w_{m',n'}^{l+1} f\left(x_{i'-m+m',j'-n+n'}^{l}\right) + b^{l+1} \right)$$



• By expanding previous equation we would have:

$$\frac{\partial x_{i'-m,j'-n}^{l+1}}{\partial x_{i',j'}^{l}} = \frac{\partial}{\partial x_{i',j'}^{l}} \left(w_{m',n'}^{l+1} f\left(x_{0-m+m',0-n+n'}^{l}\right) + \dots + w_{m,n}^{l+1} f\left(x_{i',j'}^{l}\right) + \dots + b^{l+1} \right) \\
= \frac{\partial}{\partial x_{i',j'}^{l}} \left(w_{m,n}^{l+1} f\left(x_{i',j'}^{l}\right) \right) \\
= w_{m,n}^{l+1} \frac{\partial}{\partial x_{i',j'}^{l}} \left(f\left(x_{i',j'}^{l}\right) \right) \\
= w_{m,n}^{l+1} f'\left(x_{i',j'}^{l}\right)$$



• and finally:

$$\frac{\partial E}{\partial x_{i',j'}^{l}} = \sum_{m=0}^{k_1-1} \sum_{n=0}^{k_2-1} \delta_{i'-m,j'-n}^{l+1} w_{m,n}^{l+1} f'\left(x_{i',j'}^{l}\right)$$



- However, this computation assumes that all weights are distinct, whereas the weights in the filter are shared across the entire spatial extent of the layer. Therefore, one has to be careful to account for shared weights, and <u>sum up the partial derivatives</u> of all copies of a shared weight.
- In other words, we first pretend that the filter used in each position is distinct in order to compute the partial derivative with respect to each copy of the shared weight, and then add up the partial derivatives of the loss with respect to all copies of a particular weight.



- 8.3 Training a Convolutional Neural NetworkEdge Detection
 - ✓ Backpropagating for Pooling Layer
 - ✓ Backpropagating through Convolutions
 - Inverted / Transposed Filter
 - Matrix Multiplication
 - Data Augmentation

Backprop. with Inverted / Transposed Filter

- For simplicity the depth of output and input convolution layers was considered 1.
- In such a case, the convolution filter is inverted both horizontally and vertically for backpropagation.
- The reason for this inversion is that the filter is "moved around" to perform dot product. Whereas the backpropagation derivatives are with respect to the input volume whose relative movement with respect to the filter is the opposite of the filter movement during convolutions.





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Matrix Multiplication

- Assume we have input with size of $A_l = L_q \times L_q \times 1$ (d_q = 1)
- and out put with size of $A_O = (L_q F_q + 1) \times (L_q F_q + 1) \times 1$
- The process is as below:
 - Flatten the input, A_l into a A_{l-}dimensional column vector
 - Consider the output will be A₀-dimensional column vector
 - Create a sparse matrix C from the Filter (a matrix with size of $A_1 \times A_0$)
 - The value of each entry in the row corresponds to one of the AI positions in the input matrix. The value is 0, if that input position is not involved in the convolution for that row.
 - Otherwise, the value is set to the corresponding value of the filter.



Matrix Multiplication





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Data Augmentation

- If data is increased, your deep NN will perform better. Data augmentation is one of the techniques that deep learning uses to increase the performance of deep NN.
- Some data augmentation methods that are used for computer vision tasks includes:
 - Mirroring.
 - Random cropping.
 - The issue with this technique is that you might take a wrong crop.
 - The solution is to make your crops big enough.
 - Rotation.
 - Shearing.
 - Local warping.
 - Color shifting.
 - For example, we add to R, G, and B some distortions that will make the image identified as the same for the human but is different for the computer.



Data Augmentation

Mirroring









Data Augmentation





- 8.4 Case StudiesBackpropagating through Convolutions
 - LeNet
 - AlexNet
 - VGG
 - ZFNet
 - ResNet
 - Network in Network and 1×1 convolutions
 - Inception Network
 - Transfer Learning



8.4 Case Studies

- Classic Networks
 - LeNet-5
 - AlexNet
 - VGG
- Deeper network
 - ResNet (152 layers!!!)
- Inceptions Neural Networks



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LeNet-5

- The goal was recognize hand-written digits.
- 60K Parameters





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AlexNet

• 60M parameters



Krizhevsky et al., 2012. ImageNet classification with deep convolutional neural networks



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VGG-16



Simonyan & Zisserman 2015. Very deep convolutional networks for large-scale image recognition



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ZFNet

- Based on Alexnet but with minor changes
- Winner of ImageNet in 2013

	AlexNet	ZFNet
Volume:	$224 \times 224 \times 3$	$224 \times 224 \times 3$
Operations:	Conv 11×11 (stride 4)	Conv 7×7 (stride 2), MP
Volume:	$55 \times 55 \times 96$	$55 \times 55 \times 96$
Operations:	Conv 5×5 , MP	Conv 5×5 (stride 2), MP
Volume:	$27 \times 27 \times 256$	$13 \times 13 \times 256$
Operations:	Conv 3×3 , MP	Conv 3×3
Volume:	$13 \times 13 \times 384$	$13 \times 13 \times 512$
Operations:	Conv 3×3	Conv 3×3
Volume:	$13 \times 13 \times 384$	$13 \times 13 \times 1024$
Operations:	Conv 3×3	Conv 3×3
Volume:	$13 \times 13 \times 256$	$13 \times 13 \times 512$
Operations:	MP, Fully connect	MP, Fully connect
FC6:	4096	4096
Operations:	Fully connect	Fully connect
FC7:	4096	4096
Operations:	Fully connect	Fully connect
FC8:	1000	1000
Operations:	Softmax	Softmax



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Residual Networks (ResNets)





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 - ✓ ResNet
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Network in Network and 1×1 convolutions

- Convolution by 1x1 filter is just multiplying the image in that filter (value)
- But it does more than that!





Network in Network and 1×1 convolutions

- It do element-wise product of the volume.
- And then apply ReLU





 $6 \times 6 \times \#$ filters



- 8.4 Case StudiesBackpropagating through Convolutions
 - ✓ LeNet
 - ✓ AlexNet
 - ✓ VGG
 - ✓ ZFNet
 - ✓ ResNet
 - ✓ Network in Network and 1×1 convolutions
 - Inception Network
 - Transfer Learning



Inception Network

• Design the layers by itself, in other word, have all of the layer architecture inside it.





Inception Network

• Instead of using this: (120M parameters)





Inception Network

• We use this: (12.4M parameters)





Inception Module







- 8.4 Case StudiesBackpropagating through Convolutions
 - ✓ LeNet
 - ✓ AlexNet
 - ✓ VGG
 - ✓ ZFNet
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Transfer Learning

- If you are using a specific NN architecture that has been trained before, you can use this pre-trained parameters/weights instead of random initialization to solve your problem.
- It can help you boost the performance of the NN.
- The pre-trained models might have trained on a large datasets like ImageNet, Ms COCO, or pascal and took a lot of time to learn those parameters/weights with optimized hyper-parameters. This can save you a lot of time.



Transfer Learning

- For Example
 - Lets say you have a cat classification problem which contains 3 classes *Tigger*, *Misty* and *neither*.
 - You don't have much a lot of data to train a NN on these images.
 - Download a good NN with its weights, remove the softmax activation layer and put your own one and make the network learn only the new layer while other layer weights are fixed/frozen.
 - One of the tricks that can speed up your training, is to run the pre-trained NN without final softmax layer and get an intermediate representation of your images and save them to disk. And then use these representation to a shallow NN network. This can save you the time needed to run an image through all the layers.
 - Its like converting your images into vectors.



- 8.6 Application of Convolution Network
 - Content-Based Image Retrieval
 - Object Detection & Localization
 - Natural Language and Sequence Learning
 - Video Classification



8.6 Application of Convolution Network

- Convolutional neural networks have several applications in **object detection**, **localization**, **video**, and **text processing**.
- The success of convolutional neural networks remains unmatched by almost any class of neural networks. In recent years, competitive methods have even been proposed for sequence-to-sequence learning, which has traditionally been the domain of recurrent networks.



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Content-Based Image Retrieval

- In content-based image retrieval, each image is first engineered into a set of multidimensional features by using a pretrained classifier like AlexNet.
- The multidimensional representations of the images can be used in conjunction with any multidimensional retrieval system to provide results of high quality.
- The reason that this approach works is because the features extracted from AlexNet have semantic significance to the different types of shapes present in the data.





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Object Detection & Localization

• In object localization, we have a fixed set of objects in an image, and we would like to identify the rectangular regions in the image in which the object occurs.





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Natural Language and Sequence Learning

- At first sight, convolutional neural networks do not seem like a natural fit for text-mining tasks.
 - Unlike image, in text position of the representing data is quite important
- Instead of 3D boxes with a spatial extent and a depth, the filter for text data are 2D boxes with a window length for sliding the sentence.
- Use of one-hot encoding increases the number of channels, and therefore blows up the number of parameters in the filter in the first layer
 - Instead using pretained embeddings of the words such as Word2Vec or GLoVe are used.



- 8.6 Application of Convolution Network
 - ✓ Content-Based Image Retrieval
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Video Classification

- Videos can be considered generalizations of image data in which a temporal component is inherent to a sequence of images. (spatio-temporal data)
- Instead of 2D (+ depth) filter, a 3D filter (+ depth) is used.
- An interesting observation is that 3-dimensional convolutions add only a limited amount to what one can achieve by averaging the classifications of individual frames by image classifiers
 - A part of the problem is that motion adds only a limited amount to the information that is available in the individual frames for classification purposes.
 - sufficiently large video data sets are hard to come by.
- For the case of longer videos, it makes sense to combine recurrent neural networks (or LSTMs) with convolutional neural networks

8.7 Summery

- Primary focus of these networks are in Image Processing and Computer Vision
- These networks are biologically inspired and are among the earliest success stories of Neural Networks.
- CNNs typically learn hierarchical features in different layers, where the earlier layers learn primitive shapes, whereas the later layers learn more complex shapes.
- Recently, convolutional neural networks have also been used for text processing, where they have shown competitive performance with recurrent neural networks.

Thanks for your attention!

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